



**ETFs & ETNs QUARTERLY SURVEY**  
**SECURITIES IN ISSUE AND NEW CAPITAL RAISED / REDEEMED**  
**(for period ended 31 December 2021)**

Product	Shares in Issue				New Capital Raised / (Redeemed) (approx. Rm) (Dec 2020 – Dec 2021)
	December 2018	December 2019	December 2020	December 2021	
<b>1. Exchange Traded Funds</b>					
<b>Invest</b>					
InvestGold ETF	600 000	6 950 000	3 480 000	1 840 000	(428,4)
InvestPalladium ETF	15 200 000	14 670 000	2 834 050	3 154 050	175,2
InvestPlatinum ETF	12 200 000	15 450 000	29 835 000	12 095 000	(2 947,5)
InvestRhodium ETF	945 555	640 555	355 555	180 905	(466,4)
Invest Top 40	13 852 437	21 547 848	13 076 215	12 051 215	(62,5)
Invest SWIX 40	180 466 274	171 931 030	152 599 040	133 469 040	(230,3)
Invest SA Property	1 561 000	2 016 000	24 203 000	23 898 000	(11,4)
Invest SA Bond	-	100 000	600 000	700 000	8,5
Invest Global Government Bond	56 919	131 919	390 419	484 419	9,4
Invest S&P 500	54 920	84 920	194 920	284 920	11,6
Invest S&P IT	10 036 500	10 844 500	29 569 000	31 159 000	25,0
Invest Global REIT	584 333	3 264 333	5 874 333	6 124 333	4,7
Invest MSCI World	1 399 979	1 961 979	2 121 979	1 903 979	(6,7)
<b>Sub-Total</b>					<b>(3 918,8)</b>
<b>Absa</b>					
NewGold	60 977 939	66 277 939	70 877 939	60 577 939	(994,9)
NewPlatinum	69 800 000	104 700 000	58 000 000	48 000 000	(1 296,5)
NewGold Palladium	6 784 696	4 284 696	1 899 696	1 224 696	(246,2)
NewSA	772 724	Delisted	-	-	-
Shari'ah Top 40	15 575 446	15 575 446	15 575 446	15 575 446	-
NewFunds S&P GIVI Top 50	2 057 255	2 057 255	2 057 255	2 057 255	-
NewFunds S&P GIVI Industrial	750 814	Delisted	-	-	-
NewFunds S&P GIVI Financial	1 322 216	Delisted	-	-	-
NewFunds S&P GIVI Resources	685 994	Delisted	-	-	-
NewFunds MAPPs Growth	1 806 698	1 806 698	1 806 698	1 806 698	-
NewFunds MAPPs Protect	1 108 764	1 108 764	1 108 764	1 108 764	-
NewFunds Equity Momentum	2 650 000	5 990 250	8 490 250	8 490 250	-
NewFunds Low Volatility	3 024 861	11 652 178	12 152 178	13 152 178	9,6
NewFunds Value	3 317 497	12 018 416	14 518 416	25 218 416	108,0
NewFunds GOVI	11 225 000	15 419 163	31 919 163	39 419 163	568,3
NewFunds ILBI	900 000	900 000	1 400 000	1 400 000	-
NewFunds Swix Top 40	1 000 000	Delisted	-	-	-
NewFunds TRACI	8 316 726	8 316 726	8 316 726	8 316 726	-



**ETFs & ETNs QUARTERLY SURVEY**  
**SECURITIES IN ISSUE AND NEW CAPITAL RAISED / REDEEMED**  
**(for period ended 31 December 2021)**

Product	Shares in Issue				New Capital Raised / (Redeemed) (approx. Rm) (Dec 2020 – Dec 2021)
	December 2018	December 2019	December 2020	December 2021	
<b>Absa (continued)</b>					
NewFunds Volatility Managed Defensive	-	5 183 924	5 183 924	5 183 924	-
NewFunds Volatility Managed High Growth	-	5 375 042	7 375 042	7 375 042	-
NewFunds Volatility Managed Moderate	-	5 564 374	5 564 374	5 564 374	-
NewFunds Namibian Bond	-	17 862 246	17 862 246	20 432 246	41,3
NewFunds Reitway Global Property	-	-	-	1 931 342	136,1
<b>Sub-Total</b>					<b>(1 674,3)</b>
<b>Ashburton</b>					
Ashburton Top 40	38 388 332	29 838 332	26 738 332	35 141 693	512,9
Ashburton Govt. Inflation	16 034 948	16 034 948	16 334 948	18 534 948	47,2
Ashburton MidCap	51 000 000	54 500 000	61 000 000	70 753 103	62,6
Ashburton Global 1200	11 249 000	11 849 000	17 969 000	20 228 000	138,7
Ashburton World Govt. Bond	16 134 683	16 134 683	16 134 683	17 134 683	8,0
<b>Sub-Total</b>					<b>769,4</b>
<b>Cloud Atlas</b>					
AMI Big 50 ex-SA	1 362 268	1 922 842	721 842	771 842	0,4
S&P Africa Sovereign Bond	-	-	-	11 211	1,1
AMI Real Estate ex-SA	21 933	27 960	82 960	Delisted	(0,2)
<b>Sub-Total</b>					<b>1,3</b>
<b>CoreShares</b>					
CoreShares Total World	-	-	-	20 191 913	212,5
CoreShares Global Dividend	25 548 -104	37 847 511	49 121 857	51 521 857	39,2
CoreShares S&P Global Property	11 019 380	12 472 085	11 779 085	12 919 137	51,4
CoreShares S&P 500	17 236 719	19 973 547	21 520 315	21 320 315	(11,3)
CoreShares Top 50	49 446 995	62 080 542	55 468 357	50 497 811	(131,5)
CoreShares PrefTrax	29 269 529	38 672 650	39 329 958	38 835 819	(6,9)
CoreShares SA Property Income	-	26 721 409	22 821 409	26 041 388	31,8
CoreShares PropTrax SAPY	2 638 800	Delisted	-	-	-
CoreShares PropTrax Ten	15 699 868	Delisted	-	-	-
CoreShares DivTrax	11 016 517	12 356 517	6 405 470	6 165 470	(5,7)
CoreShares Sci. Beta Multi-Fact.	-	2 805 138	2 505 138	2 631 143	5,4
CoreShares Top 40 EWT	2 455 138	Delisted	-	-	-
<b>Sub-Total</b>					<b>184,9</b>

**ETFs & ETNs QUARTERLY SURVEY**  
**SECURITIES IN ISSUE AND NEW CAPITAL RAISED / REDEEMED**  
**(for period ended 31 December 2021)**

Product	Shares in Issue				New Capital Raised / (Redeemed) (approx. Rm) (Dec 2020 – Dec 2021)
	December 2018	December 2019	December 2020	December 2021	
<b>FirstRand Bank</b>					
Krugerrand DCC	33 265	33 265	31 515	31 515	-
US Dollar DCC (10 Year)	85 000	85 000	85 000	135 000	0,7
US Dollar DCC (2 Year)	16 000	46 000	76 000	151 000	1,1
<b>Sub-Total</b>					<b>1,8</b>
<b>Satrix</b>					
Satrix 40	161 491 991	172 691 991	161 091 991	175 291 991	1 072,8
Satrix INDI	28 288 457	27 338 457	27 838 457	26 288 457	(203,9)
Satrix FINI	47 336 990	45 736 990	54 136 990	65 736 990	143,5
Satrix RESI	7 587 975	8 187 975	8 787 975	12 687 975	277,5
Satrix SWIX Top 40	40 518 130	34 318 130	31 918 130	30 318 130	(19,5)
Satrix DIVI	659 389 818	662 389 818	620 389 818	649 389 818	81,6
Satrix RAFI	70 277 270	65 477 270	59 477 270	57 677 270	(29,5)
Satrix Property	6 671 525	16 071 525	16 971 525	39 071 525	210,5
Satrix Quality	18 636 570	14 136 570	9 636 570	8 136 570	(12,8)
Satrix ILBI	12 015 615	10 015 615	25 015 615	46 015 615	133,8
Satrix Momentum	1 307 779	2 807 779	2 807 779	2 807 779	-
Satrix SA Bond	-	-	35 980 722	76 980 722	327,8
Satrix Inclusion and Diversity	-	-	-	540 866	15,7
Satrix Capped All Share	-	-	-	2 679 427	92,5
Satrix S&P 500	11 174 051	17 974 051	25 574 051	32 774 051	351,4
Satrix Emerging Markets	10 472 719	14 972 719	25 472 719	35 572 719	557,0
Satrix MSCI China	-	-	20 156 599	29 756 599	496,5
Satrix MSCI World	18 770 139	59 570 139	72 970 139	86 135 639	652,3
Satrix Nasdaq	4 920 908	9 320 908	18 920 908	22 220 908	401,3
Satrix Global Aggregate Bond	-	-	1 001 181	1 101 181	1,9
Satrix MSCI EM ESG Enhanced	-	-	1 804 446	3 104 446	64,6
Satrix MSCI World ESG Enhanced	-	-	1 508 222	2 608 222	60,1
Satrix Global Infrastructure	-	-	-	1 449 179	71,0
<b>Sub-Total</b>					<b>4 746,1</b>



**ETFs & ETNs QUARTERLY SURVEY**  
**SECURITIES IN ISSUE AND NEW CAPITAL RAISED / REDEEMED**  
**(for period ended 31 December 2021)**

Product	Shares in Issue				New Capital Raised / (Redeemed) (approx. Rm) (Dec 2020 – Dec 2021)
	December 2018	December 2019	December 2020	December 2021	
<b>Sygnia Itrix</b>					
Sygnia Itrix Eurostoxx 50	37 255 202	41 583 104	41 922 970	45 978 363	275,3
Sygnia Itrix FTSE 100	6 810 000	5 960 000	6 210 000	6 587 787	43,2
Sygnia Itrix MSCI US	131 614 005	121 634 005	106 594 005	99 724 005	(420,4)
Sygnia Itrix MSCI Japan	60 800 000	54 255 611	44 257 851	56 057 851	174,4
Sygnia Itrix MSCI World	266 854 946	247 895 744	248 018 488	241 076 018	(321,2)
Sygnia Itrix Global Property	9 332 945	6 233 041	13 633 251	11 262 736	(113,3)
Sygnia Itrix S&P 500	31 206 454	19 718 834	36 211 259	39 496 778	162,2
Sygnia Itrix MSCI Emerging Markets 50	-	-	-	80 928 629	1 481,3
Sygnia Itrix S&P Global 1200 ESG	-	-	-	16 146 762	574,0
Sygnia Itrix 4 <sup>th</sup> Industrial Revolution	18 435 016	23 255 016	37 916 436	52 336 748	737,9
Sygnia Itrix Solactive Healthcare 150	-	-	-	21 048 632	521,9
Sygnia Itrix Top 40	4 000 353	4 089 779	5 674 779	6 124 779	27,8
Sygnia Itrix SWIX Top 40	18 596 145	19 091 629	19 141 996	13 891 996	(63,1)
<b>Sub-Total</b>					<b>3 080,0</b>

<b>TOTAL ETFs</b>		<b>3 190,4</b>
-------------------	--	----------------

**ETNs on pages 5 - 7**

**ETFs & ETNs QUARTERLY SURVEY**  
**SECURITIES IN ISSUE AND NEW CAPITAL RAISED / REDEEMED**  
**(for period ended 31 December 2021)**

Product	Shares in Issue				New Capital Raised / (Redeemed) (approx. Rm) (Dec 2020 – Dec 2021)
	December 2018	December 2019	December 2020	December 2021	
<b>2. Exchange Traded Notes</b>					
<b>Absa Capital</b>					
NewWave EURO	3 256 648	2 256 648	5 256 648	5 256 648	-
NewWave Pound Sterling	4 258 030	2 258 030	2 258 030	3 258 030	20,0
NewWave US Dollar	26 335 177	27 335 177	60 335 177	64 335 177	35,3
NewWave Platinum	208 305	208 305	208 305	208 305	-
NewWave Silver	10 954 452	16 554 452	42 554 452	111 354 452	626,1
Sub-Total					<b>681,4</b>
<b>FirstRand Bank – Single Stock ETNs</b>					
Activision Blizzard (Compo)	-	-	5 000 000	5 000 000	-
Activision Blizzard (Quanto)	-	-	5 000 000	5 000 000	-
Adobe Inc (Compo)	-	-	5 000 000	5 000 000	-
Adobe Inc (Quanto)	-	-	5 000 000	5 000 000	-
Alphabet Inc (Compo)	-	-	2 500 000	3 800 000	13,0
Alphabet Inc (Quanto)	-	-	10 000 000	10 000 000	-
Amazon.com Inc (Compo)	-	-	2 500 000	3 500 000	10,0
Amazon.com Inc (Quanto)	-	-	10 000 000	10 000 000	-
Apple Inc (Compo)	-	-	2 500 000	4 200 000	17,0
Apple Inc (Quanto)	-	-	5 000 000	5 000 000	-
Berkshire Hathaway Inc (Compo)	-	-	5 000 000	5 000 000	-
Berkshire Hathaway Inc (Quanto)	-	-	5 000 000	5 000 000	-
Coca Cola Company (Compo)	-	-	2 500 000	4 300 000	18,0
Coca Cola Company (Quanto)	-	-	5 000 000	5 000 000	-
Facebook Inc (Compo)	-	-	2 500 000	3 800 000	13,0
Facebook Inc (Quanto)	-	-	10 000 000	10 000 000	-
Ford Motor Company (Compo)	-	-	5 000 000	5 000 000	-
Ford Motor Company (Quanto)	-	-	5 000 000	5 000 000	-

Continued/...

**ETFs & ETNs QUARTERLY SURVEY**  
**SECURITIES IN ISSUE AND NEW CAPITAL RAISED / REDEEMED**  
**(for period ended 31 December 2021)**

Product	Shares in Issue				New Capital Raised / (Redeemed) (approx. Rm) (Dec 2020 – Dec 2021)
	December 2018	December 2019	December 2020	December 2021	
<b>FirstRand Bank – Single Stock ETNs (cont.)</b>					
Goldman Sachs Group Inc (Compo)	-	-	5 000 000	5 000 000	-
Goldman Sachs Group Inc (Quanto)	-	-	5 000 000	5 000 000	-
JP Morgan Chase and Co (Compo)	-	-	5 000 000	5 000 000	-
JP Morgan Chase and Co (Quanto)	-	-	5 000 000	5 000 000	-
McDonalds Corporation (Compo)	-	-	2 500 000	4 100 000	16,0
McDonalds Corporation (Quanto)	-	-	5 000 000	5 000 000	-
Microsoft Corporation (Compo)	-	-	2 500 000	3 500 000	10,0
Microsoft Corporation (Quanto)	-	-	10 000 000	10 000 000	-
Netflix Inc (Compo)	-	-	2 500 000	3 600 000	11,0
Netflix Inc (Quanto)	-	-	10 000 000	10 000 000	-
PayPal Holdings Inc (Compo)	-	-	5 000 000	5 000 000	-
PayPal Holdings Inc (Quanto)	-	-	5 000 000	5 000 000	-
Tesla Inc (Compo)	-	-	12 500 000	12 500 000	-
Tesla Inc (Quanto)	-	-	10 000 000	10 000 000	-
Visa Inc, Class A (Compo)	-	-	5 000 000	5 000 000	-
Visa Inc, Class A (Quanto)	-	-	5 000 000	5 000 000	-
<b>Sub-Total</b>					<b>108,0</b>

Continued/...



**ETFs & ETNs QUARTERLY SURVEY**  
**SECURITIES IN ISSUE AND NEW CAPITAL RAISED / REDEEMED**  
**(for period ended 31 December 2021)**

Product	Shares in Issue				New Capital Raised / (Redeemed) (approx. Rm) (Dec 2020 – Dec 2021)
	December 2018	December 2019	December 2020	December 2021	
<b>FirstRand Bank – Index Tracking ETNs</b>					
iShares Global Clean Energy Index ETF (Compo) ETN	-	-	-	5 000 000	50,0
iShares Global Clean Energy Index ETF (Quanto) ETN	-	-	-	6 500 000	65,0
iShares Global Water Index ETF (Compo) ETN	-	-	-	5 000 000	50,0
iShares Global Water Index ETF (Quanto) ETN	-	-	-	5 000 000	50,0
iShares Core MSCI World Index ETF (Compo)	-	-	7 500 000	7 500 000	-
iShares Core MSCI World Index ETF (Quanto)	-	-	5 000 000	5 000 000	-
UBS MSCI World Socially Responsible Index ETF (Compo)				5 000 000	50,0
UBS MSCI World Socially Responsible Index ETF (Quanto)				5 000 000	50,0
<b>Sub-Total</b>					<b>315,0</b>
<b>Investec Capital ETNs</b>					
Investec Top 40	12 750 000	12 750 000	12 750 000	12 750 000	-
Investec SWIX 40	5 000 000	5 000 000	5 000 000	5 000 000	-
<b>Sub-Total</b>					<b>-</b>
<b>Standard Bank ETNs</b>					
SB Copper ETN (new issue)	-	-	-	40 000 000	247,2
SB Oil ETN (new issue)				50 000 000	503,0
SB Africa Equity	20 000 000	20 000 000	Delisted	Delisted	-
Commodity Basket	10 000 000	10 000 000	Delisted	Delisted	-
SB Gold-Linker	1 000 000	1 000 000	Delisted	Delisted	-
SB Platinum-Linker	2 000 000	2 000 000	Delisted	Delisted	-
SB Palladium-Linker	500 000	500 000	Delisted	Delisted	-
SB Silver-Linker	500 000	500 000	Delisted	Delisted	-
SB Copper ETN	10 000 000	10 000 000	10 000 000	Delisted	(221,1)
SB Corn ETN	5 000 000	5 000 000	5 000 000	Delisted	(52,6)
SB Oil ETN	35 000 000	35 000 000	65 000 000	Delisted	(707,2)
SB Wheat ETN	5 000 000	5 000 000	5 000 000	Delisted	(43,7)
<b>Sub-Total</b>					<b>(274,0)</b>



**ETFs & ETNs QUARTERLY SURVEY**  
**SECURITIES IN ISSUE AND NEW CAPITAL RAISED / REDEEMED**  
**(for period ended 31 December 2021)**

Product	Shares in Issue				New Capital Raised / (Redeemed) (approx. Rm) (Dec 2020 – Dec 2021)
	December 2018	December 2019	December 2020	December 2021	
<b>UBS AG</b> (Credit Rating: S&P A+ Moody's Aa3 Fitch AA- )					
<b>Actively Managed ETNs</b>					
UABSPA Absa – Global Equity	2 000 000	2 000 000	2 000 000	3 000 000	100,0
UPCHPA Absa – Global Equity (Private Client)	500 000	500 000	500 000	2 000 000	150,0
UABCPA – Ambro Capital – Global Equity		1 000 000	1 000 000	1 000 000	-
UEXGEP Excelsia – Global Equity	-	-	1 000 000	1 000 000	-
UEFPCA Efficient Group – Global Equity	-	2 500 000	2 500 000	2 500 000	-
UEFPCB Efficient Group – SA Equity	-	2 000 000	2 000 000	2 000 000	-
FNBGEG FNB – Global Equity	-	-	1 500 000	1 500 000	-
FNBGSE FNB – Global Equity	-	-	4 500 000	4 500 000	-
UMMIEA Momentum – Global Equity	1 000 000	1 000 000	1 000 000	1 000 000	-
UMMIEB Momentum – Global Equity	2 000 000	2 000 000	2 000 000	2 000 000	-
UMMIES Momentum – World Islamic	-	2 000 000	2 000 000	2 000 000	-
UOMWPA Old Mutual Global Equity	5 000 000	5 000 000	5 000 000	10 000 000	500,0
URETGP Reitway – Global Property	-	-	1 000 000	1 000 000	-
USQCPA Strategic Global Equity	-	1 000 000	1 000 000	1 000 000	-
<b>Sub-total</b>					<b>750,0</b>
<b>Themed Index Tracker ETNs</b>					
UBSSNP S&P 500 Net Total Return Index				250 000	25,0
UBSSXF EURO STOXX 50 Net Total Return Index				250 000	25,0
UESGWL MSCI World ESG Leaders Net Total Return Index				250 000	25,0
UBSUKX FTSE 100 Net of Tax Index				250 000	25,0
UBSNDX Nasdaq-100 Net Total Return Index				250 000	25,0
UBNDDU MSCI USA Net Total Return Index				250 000	25,0
UJAPAN MSCI Japan Net Total Return Index				250 000	25,0
UBTECU Solactive Fintech 20 Net Total Return Index				250 000	25,0

Continued/ ...





**ETFs & ETNs QUARTERLY SURVEY  
SECURITIES IN ISSUE AND NEW CAPITAL RAISED / REDEEMED  
(for period ended 31 December 2021)**

Product	Shares in Issue				New Capital Raised / (Redeemed) (approx. Rm) (Dec 2020 – Dec 2021)
	December 2018	December 2019	December 2020	December 2021	
UBFAMU Solactive Global Family-Owned Companies Total Return Index				250 000	25,0
UBDATA Solactive Big Data Net Total Return Index				250 000	25,0
UBROBO Solactive Robotics and Drones Net Total Return Index				250 000	25,0
UBDAUD S&P 500 Dividend Aristocrats Net Total Return Index				250 000	25,0
UBLVHD S&P 500 Low Volatility High Dividend Net Total Return Index				250 000	25,0
UBRAIC S&P Kensho New Economy RAIC Net Total Return Index				250 000	25,0
UBGURU Solactive Guru Net Total Return Index				250 000	25,0
UEMERG MSCI Emerging Market Net Total Return Index				250 000	25,0
UWORLD MSCI World Net Total Return Index	-	-	-	250 000	25,0
<b>Sub-Total</b>					<b>425,0</b>

<b>TOTAL ETNs</b>	<b>2 005,4</b>
-------------------	----------------

<b>Summary – New Capital Raised – December 2020 to December 2021 (Rm)</b>	
Exchange Traded Funds (ETFs)	3 190,4
Exchange Traded Notes (ETNs)	2 005,4
<b>TOTAL</b>	<b>5 195,8</b>

Source: Profile Media / etfSA

**Disclaimer:** The Exchange Traded Products (ETPs) contained herein are mainly Collective Investment Schemes in Securities (CIS) and other listed securities which are generally medium to long-term investments that contain elements of risk and can be affected by market values, interest rates, exchange rates, volatility, dividend yields and issuer credit ratings. ETPs are listed on the Johannesburg, or other Stock Exchanges, and trade at ruling prices on such Exchanges.

The price of ETPs can go up as well as down and past performance is not necessarily a guide to the future. The ETP's herein are listed on the Johannesburg Stock Exchange Limited and trading in ETP securities will incur trading and settlement costs. ETF securities are traded at ruling prices and can engage in scrip lending.

The information and opinions provided herein are of a general nature and do not constitute investment advice. Whilst every care has been taken, no representation, warranty or undertaking, expressed or implied, is given as to the accuracy or completeness thereof.

etfSA.co.za is managed by M F Brown, who is a registered financial services provider (FSP No. 39217). M F Brown has Professional Indemnity Insurance as required by FAIS. The etfSA Investment Services Company (Pty) Ltd (FSP No 40107) provides asset management as well as financial intermediary and advice services. It uses Exchange Traded Products to construct portfolios for use in Retirement Annuity, Tax Free and Discretionary investments. It holds Professional Indemnity insurance and Fidelity Guarantee insurance as required by FAIS.

All opinions and information on this website may be changed at any time without notice. Redistribution, reproduction, the resale or transmission to any third party of the contents of this website, whether by email, newsletter, internet or website, is only possible with the written permission of etfSA. etfSA.co.za, its sponsors, administrators, contributors and product providers disclaim any liability for any loss, damage, or expense that might occur from the use of or reliance on the data and services provided through this website. etfSA.co.za® and etfSA The Home of Exchange Traded Funds® are registered trademarks in the Republic of South Africa.