



ETFs & ETNs ANNUAL SURVEY
SECURITIES IN ISSUE AND NEW CAPITAL RAISED / REDEEMED
(for period ended 30 September 2020)

Product	Shares in Issue				New Capital Raised / (Redeemed) (approx. Rm) (Dec 2019 – Sept 2020)
	December 2017	December 2018	December 2019	September 2020	
1. Exchange Traded Funds					
Invest					
InvestGold ETF	600 000	600 000	6 950 000	12 955 000	1 941,8
InvestPalladium ETF	30 900 000	15 200 000	14 670 000	3 984 050	(3 600,8)
InvestPlatinum ETF	14 800 000	12 200 000	15 450 000	28 635 000	2 038,5
InvestRhodium ETF	3 815 555	945 555	640 555	598 555	(417,8)
Invest Top 40	14 106 964	13 852 437	21 547 848	14 685 662	(316,8)
Invest SWIX 40	176 092 999	180 466 274	171 931 030	175 091 030	49,5
Invest SA Property	2 466 000	1 561 000	2 016 000	10 013 000	237,0
Invest SA Bond	-	-	100 000	600 000	35,5
Invest Global Government Bond	-	56 919	131 919	380 419	17,2
Invest S&P 500	-	54 920	84 920	164 920	4,5
Invest S&P IT	-	10 036 500	10 844 500	26 699 000	150,7
Invest Global REIT	-	584 333	3 264 333	5 749 333	48,1
Invest MSCI World	-	1 399 979	1 961 979	2 016 979	18,2
Sub-Total					205,7
Absa					
NewGold	103 277 939	60 977 939	66 277 939	78 077 939	3 426,3
NewPlatinum	79 800 000	69 800 000	104 700 000	66 600 000	(4 956,9)
NewGold Palladium	25 684 696	6 784 696	4 284 696	1 999 696	(760,5)
NewSA	772 724	772 724	Delisted	-	-
Shari'ah Top 40	15 575 446	15 575 446	15 575 446	15 575 446	-
NewFunds S&P GIVI Top 50	2 057 255	2 057 255	2 057 255	2 057 255	-
NewFunds S&P GIVI Industrial	750 814	750 814	Delisted	-	-
NewFunds S&P GIVI Financial	1 322 216	1 322 216	Delisted	-	-
NewFunds S&P GIVI Resources	685 994	685 994	Delisted	-	-
NewFunds MAPPs Growth	1 806 698	1 806 698	1 806 698	1 806 698	-
NewFunds MAPPs Protect	1 108 764	1 108 764	1 108 764	1 108 764	-
NewFunds Equity Momentum	2 200 000	2 650 000	5 990 250	8 490 250	79,6
NewFunds Low Volatility	-	3 024 861	11 652 178	12 152 178	5,4
NewFunds Value	-	3 317 497	12 018 416	12 518 416	4,4
NewFunds GOVI	6 525 000	11 225 000	15 419 163	28 419 163	830,6
NewFunds ILBI	1 100 000	900 000	900 000	900 000	-
NewFunds Swix Top 40	1 000 000	1 000 000	Delisted	-	-
NewFunds TRACI	5 116 726	8 316 726	8 316 726	8 316 726	-



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Absa (continued)					
NewFunds Volatility Managed Defensive	-	-	5 183 924	5 183 924	-
NewFunds Volatility Managed High Growth	-	-	5 375 042	7 375 042	16,2
NewFunds Volatility Managed Moderate	-	-	5 564 374	5 564 374	-
NewFunds Namibian Bond	-	-	17 862 246	17 862 246	-
Sub-Total					(1 354,9)
Ashburton					
Ashburton Top 40	12 388 332	38 388 332	29 838 332	25 638 332	(180,0)
Ashburton Govt. Inflation	50 200 000	16 034 948	16 034 948	16 531 948	9,4
Ashburton MidCap	37 000 000	51 000 000	54 500 000	58 500 000	21,1
Ashburton Global 1200	4 300 000	11 249 000	11 849 000	15 419 000	195,1
Ashburton World Govt. Bond	-	16 134 683	16 134 683	16 134 683	-
Sub-Total					45,6
Cloud Atlas					
AMI Big 50 ex-SA	1 086 917	1 362 268	1 922 842	1 585 342	(4,6)
AMI Real Estate ex-SA	-	21 933	27 960	82 960	1,3
Sub-Total					(3,3)
CoreShares					
CoreShares Global Dividend	-	25 548 104	37 847 511	47 421 857	128,7
CoreShares S&P Global Property	9 039 380	11 019 380	12 472 085	11 279 085	(48,0)
CoreShares S&P 500	14 884 731	17 236 719	19 973 547	20 940 547	49,2
CoreShares Top 50	33 128 035	49 446 995	62 080 542	61 054 712	(44,0)
CoreShares PrefTrax	40 778 029	29 269 529	38 672 650	39 329 958	8,4
CoreShares SA Property Income	-	-	26 721 409	22 821 409	(35,8)
CoreShares PropTrax SAPY	2 295 000	2 638 800	Delisted	-	-
CoreShares PropTrax Ten	13 413 500	15 699 868	Delisted	-	-
CoreShares DivTrax	8 539 517	11 016 517	12 356 517	10 256 517	(50,6)
CoreShares Sci. Beta Multi-Fact.	-	-	2 805 138	2 505 138	(11,5)
CoreShares Top 40 EWT	3 109 498	2 455 138	Delisted	-	-
Sub-Total					(3,6)



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First Rand					
Krugerrand DCC	85 265	33 265	33 265	31 515	(5,1)
US Dollar DCC (10 Year)	130 000	85 000	85 000	85 000	-
US Dollar DCC (2 Year)	-	16 000	46 000	76 000	52,1
Sub-Total					47,0
Satrix					
Satrix 40	150 391 991	161 491 991	172 691 991	162 091 991	(497,1)
Satrix INDI	29 788 457	28 288 457	27 338 457	25 838 457	(86,2)
Satrix FINI	67 936 990	47 336 990	45 736 990	53 736 990	99,9
Satrix RESI	8 887 975	7 587 975	8 187 975	8 787 975	20,7
Satrix SWIX Top 40	48 518 130	40 518 130	34 318 130	34 118 130	(2,0)
Satrix DIVI	592 389 818	659 389 818	662 389 818	626 389 818	(79,0)
Satrix RAFI	67 477 270	70 277 270	65 477 270	62 277 270	(40,1)
Satrix Property	3 271 525	6 671 525	16 071 525	20 971 525	41,9
Satrix Quality	4 636 570	18 636 570	14 136 570	15 136 570	7,1
Satrix ILBI	4 015 615	12 015 615	10 015 615	25 015 615	86,1
Satrix Momentum	-	1 307 779	2 807 779	2 807 779	-
Satrix SA Bond	-	-	-	19 980 722	117,5
Satrix S&P 500	4 774 051	11 174 051	17 974 051	24 274 051	308,6
Satrix Emerging Markets	6 472 719	10 472 719	14 972 719	20 572 719	254,6
Satrix MSCI China	-	-	-	12 956 599	708,6
Satrix MSCI World	5 770 139	18 770 139	59 570 139	67 870 139	406,5
Satrix Nasdaq	-	4 920 908	9 320 908	17 020 908	757,9
Satrix Global Aggregate Bond	-	-	-	601 181	27,8
Satrix MSCI EM ESG Enhanced	-	-	-	404 446	18,2
Satrix MSCI World ESG Enhanced	-	-	-	908 222	43,8
Sub-Total					2 194,8

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Sygnia/Itrix					
Sygnia/Itrix Eurostoxx 50	45 700 000	37 255 202	41 583 104	40 355 663	(91,6)
Sygnia/Itrix FTSE 100	6 610 000	6 810 000	5 960 000	6 060 000	10,9
Sygnia/Itrix MSCI US	156 000 000	131 614 005	121 634 005	108 894 005	(563,3)
Sygnia/Itrix MSCI Japan	31 600 000	60 800 000	54 255 611	51 471 817	(49,4)
Sygnia/Itrix MSCI World	211 800 000	266 854 946	247 895 744	234 894 139	(498,4)
Sygnia/Itrix Global Property	6 332 945	9 332 945	6 233 041	10 549 803	179,0
Sygnia/Itrix S&P 500	4 039 942	31 206 454	19 718 834	30 571 041	537,1
Sygnia/Itrix Top 40	3 800 353	4 000 353	4 089 779	5 349 779	55,7
Sygnia/Itrix SWIX Top 40	17 296 145	18 596 145	19 091 629	20 482 310	12,5
Sygnia/Itrix 4 th Industrial Revolution	7 435 016	18 435 016	23 255 016	31 158 036	273,7
Sub-Total					(133,8)
TOTAL ETFs					996,5

ETNs on pages 5 & 6



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2. Exchange Traded Notes					
Absa Capital					
NewWave EURO	3 216 648	3 256 648	2 256 648	4 256 648	39,6
NewWave Pound Sterling	11 258 030	4 258 030	2 258 030	2 258 030	-
NewWave US Dollar	53 335 177	26 335 177	27 335 177	41 335 177	301,4
NewWave Platinum	208 305	208 305	208 305	208 305	-
NewWave Silver	12 554 452	10 954 452	16 554 452	42 154 452	95,0
Sub-Total					436,0
Deutsche Bank ETNs					
DBX Africa ETN	20 000 000	20 000 000	20 000 000	Delisted	(2 450,8)
DBX China ETN	20 000 000	20 000 000	20 000 000	Delisted	(1 739,0)
DBX Emerging Market ETN	20 000 000	20 000 000	20 000 000	Delisted	(1 482,4)
Sub-Total					(5 672,2)
Investec Capital ETNs					
Investec Top 40	12 750 000	12 750 000	12 750 000	12 750 000	-
Investec SWIX 40	5 000 000	5 000 000	5 000 000	5 000 000	-
Sub-Total					-
Standard Bank ETNs					
SB Africa Equity	20 000 000	20 000 000	20 000 000	Delisted	(220,0)
Commodity Basket	10 000 000	10 000 000	10 000 000	Delisted	(141,0)
SB Gold-Linker	1 000 000	1 000 000	1 000 000	Delisted	(330,0)
SB Platinum-Linker	2 000 000	2 000 000	2 000 000	Delisted	(236,0)
SB Palladium-Linker	500 000	500 000	500 000	Delisted	(490,0)
SB Silver-Linker	500 000	500 000	500 000	Delisted	(103,0)
SB Copper ETN	10 000 000	10 000 000	10 000 000	10 000 000	-
SB Corn ETN	5 000 000	5 000 000	5 000 000	5 000 000	-
SB Oil ETN	35 000 000	35 000 000	35 000 000	65 000 000	173,4
SB Wheat ETN	5 000 000	5 000 000	5 000 000	5 000 000	-
Sub-Total					(1 346,6)



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UBS ETNs					
UABSPA Absa – Global Equity	-	2 000 000	2 000 000	2 000 000	-
UMMIEA Momentum – Global Equity	-	1 000 000	1 000 000	1 000 000	-
UMMIEB Momentum – Global Equity	-	2 000 000	2 000 000	2 000 000	-
UEFPCA Efficient Group – Global Equity	-	-	2 500 000	2 500 000	-
USQCPA Strategic Global Equity	-	-	1 000 000	1 000 000	-
UEFPCB Efficient Group – SA Equity	-	-	2 000 000	2 000 000	-
UMMIES Momentum – World Islamic	-	-	2 000 000	2 000 000	-
UEXGEP Excelsia – Global Equity	-	-	-	1 000 000	102,2
URETGP Reitway – Global Property	-	-	-	1 000 000	111,8
FNBGSA FNB – Global Equity	-	-	-	1 500 000	151,4
FNBGSE FNB – Global Equity	-	-	-	4 500 000	536,7
UABCPA – Ambro Capital – Global Equity			1 000 000	1 000 000	-
Sub-Total					902,1

TOTAL ETNs	(5 680,7)
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Summary – New Capital Raised – December 2019 to September 2020 (Rm)	
Exchange Traded Funds (ETFs)	996,5
Exchange Traded Notes (ETNs)	(5 680,7)
TOTAL	(4 684,2)
<i>Source: Profile Media / etfSA</i>	

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