



**ETFs & ETNs ANNUAL SURVEY**  
**SECURITIES IN ISSUE AND NEW CAPITAL RAISED / REDEEMED**  
**(for period ended 31 December 2019)**

Product	Shares in Issue				New Capital Raised / (Redeemed) (approx. Rm) (Jan-Dec 2019)
	December 2016	December 2017	December 2018	December 2019	
<b>1. Exchange Traded Funds</b>					
<b>Invest</b>					
InvestGold ETF	600 000	600 000	600 000	6 950 000	1 357,1
InvestPalladium ETF	43 100 000	30 900 000	15 200 000	14 670 000	(16,5)
InvestPlatinum ETF	13 600 000	14 800 000	12 200 000	15 450 000	243,5
InvestRhodium ETF	4 890 555	3 815 555	945 555	640 555	(109,7)
Invest Top 40	14 106 964	14 106 964	13 852 437	21 547 848	370,1
Invest SWIX 40	177 165 802	176 092 999	180 466 274	171 931 030	(96,5)
Invest SA Property	1 386 000	2 466 000	1 561 000	2 016 000	34,7
Invest SA Bond	-	-	-	100 000	7,2
Invest Global Government Bond	-	-	56 919	131 919	5,2
Invest S&P 500	-	-	54 920	84 920	2,3
Invest S&P IT	-	-	10 036 500	10 844 500	1,1
Invest Global REIT	-	-	584 333	3 264 333	35,4
Invest MSCI World	-	-	1 399 979	1 961 979	16,4
Sub-Total					1 850,3
<b>Absa</b>					
NewGold	113 177 939	103 277 939	60 977 939	66 277 939	1 175,0
NewPlatinum	79 300 000	79 800 000	69 800 000	104 700 000	4 351,6
NewGold Palladium	21 184 696	25 684 696	6 784 696	4 284 696	(520,6)
NewSA	772 724	772 724	772 724	Delisted	(36,1)
Shari'ah Top 40	22 075 446	15 575 446	15 575 446	15 575 446	-
NewFunds S&P GIVI Top 50	2 057 255	2 057 255	2 057 255	2 057 255	-
NewFunds S&P GIVI Industrial	400 814	750 814	750 814	Delisted	(34,6)
NewFunds S&P GIVI Financial	1 322 216	1 322 216	1 322 216	Delisted	(40,4)
NewFunds S&P GIVI Resources	415 994	685 994	685 994	Delisted	(34,9)
NewFunds MAPPS Growth	1 806 698	1 806 698	1 806 698	1 806 698	-
NewFunds MAPPS Protect	1 108 764	1 108 764	1 108 764	1 108 764	-
NewFunds Equity Momentum	1 400 000	2 200 000	2 650 000	5 990 250	109,8
NewFunds Low Volatility	-	-	3 024 861	11 652 178	83,9
NewFunds Value	-	-	3 317 497	12 018 416	83,3

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<b>Absa (continued)</b>					
NewFunds GOVI	7 775 000	6 525 000	11 225 000	15 419 163	271,4
NewFunds ILBI	2 800 000	1 100 000	900 000	900 000	-
NewFunds Swix Top 40	1 000 000	1 000 000	1 000 000	Delisted	(23,0)
NewFunds TRACI	5 116 726	5 116 726	8 316 726	8 316 726	-
NewFunds Volatility Managed Defensive	-	-	-	5 183 924	50,0
NewFunds Volatility Managed High Growth	-	-	-	5 375 042	50,1
NewFunds Volatility Managed Moderate	-	-	-	5 564 374	50,0
NewFunds Namibian Bond	-	-	-	17 862 246	245,0
Sub-Total					5 780,5
<b>Ashburton</b>					
Ashburton Top 40	18 000 000	12 388 332	38 388 332	29 838 332	(427,6)
Ashburton Govt. Inflation	24 200 000	50 200 000	16 034 948	16 034 948	-
Ashburton MidCap	24 000 000	37 000 000	51 000 000	54 500 000	25,8
Ashburton Global 1200	-	4 300 000	11 249 000	11 849 000	23,5
Ashburton World Govt. Bond	-	-	16 134 683	16 134 683	-
Sub-Total					(378,3)
<b>Cloud Atlas</b>					
AMI Big 50 ex-SA	-	1 086 917	1 362 268	1 922 842	7,7
AMI Real Estate ex-SA	-	-	21 933	27 960	0,2
Sub-Total					7,9

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<b>CoreShares</b>					
CoreShares Global Dividend	-	-	25 548 104	37 847 511	153,5
CoreShares S&P Global Property	4 122 994	9 039 380	11 019 380	12 472 085	57,0
CoreShares S&P 500	5 659 578	14 884 731	17 236 719	19 973 547	114,1
CoreShares Top 50	8 500 000	33 128 035	49 446 995	62 080 542	272,0
CoreShares PrefTrax	42 050 000	40 778 029	29 269 529	38 672 650	85,6
CoreShares SA Property Income	-	-	-	26 721 409	4,4
CoreShares PropTrax SAPY	2 510 000	2 295 000	2 638 800	Delisted	25,5
CoreShares PropTrax Ten	13 925 000	13 413 500	15 699 868	Delisted	22,7
CoreShares DivTrax	4 360 000	8 539 517	11 016 517	12 356 517	34,1
CoreShares Sci. Beta Multi-Fac.	-	-	-	2 805 138	4,5
CoreShares Top 40 EWT	6 400 000	3 109 498	2 455 138	Delisted	18,1
Sub-Total					791,5
<b>First Rand</b>					
Krugerrand DCC	-	85 265	33 265	33 265	-
US Dollar DCC (10 Year)	-	130 000	85 000	85 000	-
US Dollar DCC (2 Year)	-	-	16 000	46 000	0,4
Sub-Total					0,4
<b>Satrix</b>					
Satrix 40	148 491 991	150 391 991	161 491 991	172 691 991	548,1
Satrix INDI	29 788 457	29 788 457	28 288 457	27 338 457	(61,4)
Satrix FINI	51 736 990	67 936 990	47 336 990	45 736 990	(26,1)
Satrix RESI	7 487 975	8 887 975	7 587 975	8 187 975	25,7
Satrix SWIX Top 40	49 718 130	48 518 130	40 518 130	34 318 130	(65,0)
Satrix DIVI	664 389 818	592 389 818	659 389 818	662 389 818	7,2
Satrix RAFI	72 877 270	67 477 270	70 277 270	65 477 270	(70,1)
Satrix Property	-	3 271 525	6 671 525	16 071 525	136,6
Satrix Quality	-	4 636 570	18 636 570	14 136 570	(37,1)
Satrix ILBI	-	4 015 615	12 015 615	10 015 615	(11,4)
Satrix Momentum	-	-	1 307 779	2 807 779	15,9
Satrix S&P 500	-	4 774 051	11 174 051	17 974 051	282,5
Satrix Emerging Markets	-	6 472 719	10 472 719	14 972 719	175,3
Satrix MSCI World	-	5 770 139	18 770 139	59 570 139	1 691,0
Satrix Nasdaq	-	-	4 920 908	9 320 908	261,9
Sub-Total					2 873,1



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<b>Sygnia/Itrix</b>					
Sygnia/Itrix Eurostoxx 50	36 000 000	45 700 000	37 255 202	41 583 104	217,8
Sygnia/Itrix FTSE 100	8 100 000	6 610 000	6 810 000	5 960 000	(114,6)
Sygnia/Itrix MSCI US	153 000 000	156 000 000	131 614 005	121 634 005	(408,0)
Sygnia/Itrix MSCI Japan	46 000 000	31 600 000	60 800 000	54 255 611	(85,6)
Sygnia/Itrix MSCI World	153 000 000	211 800 000	266 854 946	247 895 744	(165,4)
Sygnia/Itrix Global Property	-	6 332 945	9 332 945	6 233 041	(120,1)
Sygnia/Itrix S&P 500	-	4 039 942	31 206 454	19 718 834	(440,9)
Sygnia/Itrix Top 40	-	3 800 353	4 000 353	4 089 779	4,4
Sygnia/Itrix SWIX Top 40	-	17 296 145	18 596 145	19 091 629	16,2
Sygnia/Itrix 4 <sup>th</sup> Industrial Revolution	-	7 435 016	18 435 016	23 255 016	89,4
Sub-Total					(1 006,8)
<b>TOTAL ETFs</b>					<b>9 918,6</b>

**ETNs on pages 5 & 6**



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<b>2. Exchange Traded Notes</b>					
<b>Absa Capital</b>					
NewWave EURO	2 256 648	3 216 648	3 256 648	2 256 648	(15,9)
NewWave Pound Sterling	6 258 030	11 258 030	4 258 030	2 258 030	(36,8)
NewWave US Dollar	28 335 177	53 335 177	26 335 177	27 335 177	15,6
NewWave Platinum	208 305	208 305	208 305	208 305	-
NewWave Silver	12 554 452	12 554 452	10 954 452	16 554 452	14,2
Sub-Total					(22,9)
<b>Deutsche Bank ETNs</b>					
DBX Africa ETN	20 000 000	20 000 000	20 000 000	20 000 000	-
DBX China ETN	20 000 000	20 000 000	20 000 000	20 000 000	-
DBX Emerging Market ETN	20 000 000	20 000 000	20 000 000	20 000 000	-
Sub-Total					-
<b>Investec Capital ETNs</b>					
Investec Top 40	12 750 000	12 750 000	12 750 000	12 750 000	-
Investec SWIX 40	5 000 000	5 000 000	5 000 000	5 000 000	-
Sub-Total					-
<b>Standard Bank ETNs</b>					
SB Africa Equity	20 000 000	20 000 000	20 000 000	20 000 000	-
SB Gold-Linker	1 000 000	1 000 000	1 000 000	1 000 000	-
SB Silver-Linker	500 000	500 000	500 000	500 000	-
SB Platinum-Linker	2 000 000	2 000 000	2 000 000	2 000 000	-
SB Palladium-Linker	500 000	500 000	500 000	500 000	-
SB Copper ETN	10 000 000	10 000 000	10 000 000	10 000 000	-
SB Corn ETN	5 000 000	5 000 000	5 000 000	5 000 000	-
SB Oil ETN	35 000 000	35 000 000	35 000 000	35 000 000	-
SB Wheat ETN	5 000 000	5 000 000	5 000 000	5 000 000	-
Commodity Basket	10 000 000	10 000 000	10 000 000	10 000 000	-
Sub-Total					-



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<b>UBS ETNs</b>					
UABSPA Actively Managed	-	-	1 000 000	1 000 000	-
UMMIEA Actively Managed	-	-	1 000 000	1 000 000	-
UMMIEB Actively Managed	-	-	1 000 000	1 000 000	-
UEFCPA UBS Portfolio Notes	-	-	-	1 000 000	124,0
USNLMA UBS Actively Managed	-	-	1 000 000	1 000 000	-
USQCPA UBS Actively Managed	-	-	-	1 000 000	110,0
UEFPCB UBS Actively Managed	-	-	-	2 000 000	192,0
UMMIES UBS Actively Managed	-	-	-	2 000 000	200,0
Sub-Total					626,0
<b>TOTAL ETNs</b>					<b>603,1</b>

<b>Summary – New Capital Raised – December 2018 to December 2019 (Rm)</b>	
Exchange Traded Funds (ETFs)	9 918,6
Exchange Traded Notes (ETNs)	603,1
<b>TOTAL</b>	<b>10 521,7</b>
<i>Source: Profile Media / etfSA</i>	

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