



ETFs & ETNs QUARTERLY SURVEY
SECURITIES IN ISSUE AND NEW CAPITAL RAISED / REDEEMED
(for period ended 30 September 2019)

Product	Shares in Issue				New Capital Raised / (Redeemed) (approx. Rm) (Jan-Sept 2019)
	December 2016	December 2017	December 2018	September 2019	
1. Exchange Traded Funds					
Absa Capital					
NewGold	113 177 939	103 277 939	60 977 939	69 477 939	1 837,1
NewPlatinum	79 300 000	79 800 000	69 800 000	102 300 000	4 033,8
NewGold Palladium	21 184 696	25 684 696	6 784 696	4 084 696	(596,8)
NewSA	772 724	772 724	772 724	772 724	-
Shari'ah Top 40	22 075 446	15 575 446	15 575 446	15 575 446	-
NewFunds S&P GIVI Top 50	2 057 255	2 057 255	2 057 255	2 057 255	-
NewFunds S&P GIVI Industrial	400 814	750 814	750 814	750 814	-
NewFunds S&P GIVI Financial	1 322 216	1 322 216	1 322 216	1 322 216	-
NewFunds S&P GIVI Resources	415 994	685 994	685 994	685 994	-
NewFunds MAPPs Growth	1 806 698	1 806 698	1 806 698	1 806 698	-
NewFunds MAPPs Protect	1 108 764	1 108 764	1 108 764	1 108 764	-
NewFunds Equity Momentum	1 400 000	2 200 000	2 650 000	5 590 250	95,1
NewFunds Low Volatility	-	-	3 024 861	11 652 178	83,9
NewFunds Value	-	-	3 317 497	12 018 416	83,3
NewFunds GOVI	7 775 000	6 525 000	11 225 000	13 775 000	162,3
NewFunds ILBI	2 800 000	1 100 000	900 000	900 000	-
NewFunds Swix Top 40	1 000 000	1 000 000	1 000 000	1 350 000	5,9
NewFunds TRACI	5 116 726	5 116 726	8 316 726	8 316 726	-
NewFunds Volatility Managed Defensive	-	-	-	5 183 924	50,0
NewFunds Volatility Managed High Growth	-	-	-	5 375 042	50,1
NewFunds Volatility Managed Moderate	-	-	-	5 564 374	50,0
Sub-Total					5 854,7
Ashburton					
Ashburton Top 40	18 000 000	12 388 332	38 388 332	29 088 332	(464,5)
Ashburton Govt. Inflation	24 200 000	50 200 000	16 034 948	16 034 948	-
Ashburton MidCap	24 000 000	37 000 000	51 000 000	51 000 000	-
Ashburton Global 1200	-	4 300 000	11 249 000	11 849 000	23,5
Ashburton World Govt. Bond	-	-	16 134 683	16 134 683	-
Sub-Total					(441,0)



ETFs & ETNs QUARTERLY SURVEY
SECURITIES IN ISSUE AND NEW CAPITAL RAISED / REDEEMED
(for period ended 30 September 2019)

Product	Shares in Issue				New Capital Raised / (Redeemed) (approx. Rm) (Jan-Sept 2019)
	December 2016	December 2017	December 2018	September 2019	
Cloud Atlas					
AMI Big 50 ex-SA	-	1 086 917	1 362 268	1 722 842	4,6
AMI Real Estate ex-SA	-	-	21 933	29 433	0,3
Sub-Total					4,9
CoreShares					
CoreShares Global Dividend	-	-	25 548 104	35 847 511	126,5
CoreShares S&P Global Property	4 122 994	9 039 380	11 019 380	11 697 085	25,9
CoreShares S&P 500	5 659 578	14 884 731	17 236 719	19 808 805	106,4
CoreShares Top 50	8 500 000	33 128 035	49 446 995	61 580 542	269,7
CoreShares PrefTrax	42 050 000	40 778 029	29 269 529	36 342 650	64,0
CoreShares PropTrax SAPY	2 510 000	2 295 000	2 638 800	3 127 800	25,5
CoreShares Proptrax TEN	13 925 000	13 413 500	15 699 868	17 139 868	22,7
CoreShares DivTrax	4 360 000	8 539 517	11 016 517	12 356 517	34,1
CoreShares Sci. Beta Multi-Fac.	-	-	-	2 805 138	4,5
Sub-Total					679,3
First Rand					
Krugerrand DCC	-	85 265	33 265	33 265	-
US Dollar DCC (10 Year)	-	130 000	85 000	85 000	-
US Dollar DCC (2 Year)	-	-	16 000	46 000	0,4
Sub-Total					0,4
Satrix					
Satrix 40	148 491 991	150 391 991	161 491 991	171 791 991	488,7
Satrix INDI	29 788 457	29 788 457	28 288 457	26 938 457	(88,0)
Satrix FINI	51 736 990	67 936 990	47 336 990	47 336 990	-
Satrix RESI	7 487 975	8 887 975	7 587 975	8 387 975	35,8
Satrix SWIX Top 40	49 718 130	48 518 130	40 518 130	35 318 130	(54,5)
Satrix DIVI	664 389 818	592 389 818	659 389 818	669 389 818	19,6
Satrix RAFI	72 877 270	67 477 270	70 277 270	68 277 270	(29,6)
Satrix Property	-	3 271 525	6 671 525	15 671 525	130,7
Satrix Quality	-	4 636 570	18 636 570	16 636 570	16,5
Satrix ILBI	-	4 015 615	12 015 615	16 015 615	23,3
Satrix Momentum	-	-	1 307 779	2 307 779	10,5



ETFs & ETNs QUARTERLY SURVEY
SECURITIES IN ISSUE AND NEW CAPITAL RAISED / REDEEMED
(for period ended 30 September 2019)

Product	Shares in Issue				New Capital Raised / (Redeemed) (approx. Rm) (Jan-Sept 2019)
	December 2016	December 2017	December 2018	September 2019	
Satrix continued					
Satrix S&P 500	-	4 774 051	11 174 051	16 174 051	199,7
Satrix Emerging Markets	-	6 472 719	10 472 719	14 172 719	141,7
Satrix MSCI World	-	5 770 139	18 770 139	52 270 139	1 365,7
Satrix Nasdaq	-	-	4 920 908	7 920 908	174,9
Sub-Total					2 435,0
Standard Bank					
Africa Palladium ETF	43 100 000	30 900 000	15 200 000	13 790 000	(237,8)
Africa Gold ETF	600 000	600 000	600 000	700 000	18,7
Africa Platinum ETF	13 600 000	14 800 000	12 200 000	15 550 000	256,4
Africa Rhodium ETF	4 890 555	3 815 555	945 555	570 555	(170,8)
Sub-Total					(133,5)
Standard Liberty					
Stanlib 40	14 106 964	14 106 964	13 852 437	21 547 848	37,0
Stanlib SWIX 40	177 165 802	176 092 999	180 466 274	171 931 030	(96,5)
Stanlib Property	1 386 000	2 466 000	1 561 000	2 016 000	34,7
Stanlib SA Bond	-	-	-	100 000	7,0
Stanlib Global Government Bond	-	-	56 919	131 919	5,2
Stanlib S&P 500	-	-	54 920	84 920	2,3
Stanlib S&P IT	-	-	10 036 500	10 844 500	1,1
Stanlib Global REIT	-	-	584 333	3 194 333	33,9
Stanlib MSCI World	-	-	1 399 979	1 961 979	16,4
Sub-Total					41,1



ETFs & ETNs QUARTERLY SURVEY
SECURITIES IN ISSUE AND NEW CAPITAL RAISED / REDEEMED
(for period ended 30 September 2019)

Product	Shares in Issue				New Capital Raised / (Redeemed) (approx. Rm) (Jan-Sept 2019)
	December 2016	December 2017	December 2018	September 2019	
Sygnia/Itrix					
Sygnia/Itrix Eurostoxx 50	36 000 000	45 700 000	37 255 202	37 184 681	(40,2)
Sygnia/Itrix FTSE 100	8 100 000	6 610 000	6 810 000	5 860 000	(128,6)
Sygnia/Itrix MSCI US	153 000 000	156 000 000	131 614 005	123 614 005	(323,6)
Sygnia/Itrix MSCI Japan	46 000 000	31 600 000	60 800 000	57 800 000	(36,6)
Sygnia/Itrix MSCI World	153 000 000	211 800 000	266 854 946	262 976 310	(114,3)
Sygnia/Itrix Global Property	-	6 332 945	9 332 945	7 352 424	(73,3)
Sygnia/Itrix S&P 500	-	4 039 942	31 206 454	25 341 388	(195,2)
Sygnia/Itrix Top 40	-	3 800 353	4 000 353	4 420 353	10,8
Sygnia/Itrix SWIX Top 40	-	17 296 145	18 596 145	19 596 145	10,6
Sygnia/Itrix 4 th Industrial Revolution	-	7 435 016	18 435 016	26 435 016	174,1
Sub-Total					(716,3)
TOTAL ETFs					7 724,6

ETNs on pages 5 & 6



ETFs & ETNs QUARTERLY SURVEY
SECURITIES IN ISSUE AND NEW CAPITAL RAISED / REDEEMED
(for period ended 30 September 2019)

Product	Shares in Issue				New Capital Raised / (Redeemed) (approx. Rm) (Jan-Sept 2019)
	December 2016	December 2017	December 2018	September 2019	
2. Exchange Traded Notes					
Absa Capital					
NewWave EURO	2 256 648	3 216 648	3 256 648	2 256 648	(15,9)
NewWave Pound Sterling	6 258 030	11 258 030	4 258 030	2 258 030	(36,8)
NewWave US Dollar	28 335 177	53 335 177	26 335 177	25 335 177	(13,2)
NewWave Platinum	208 305	208 305	208 305	208 305	-
NewWave Silver	12 554 452	12 554 452	10 954 452	16 554 452	14,2
Sub-Total					(51,7)
Deutsche Bank ETNs					
DBX Africa ETN	20 000 000	20 000 000	20 000 000	20 000 000	-
DBX China ETN	20 000 000	20 000 000	20 000 000	20 000 000	-
DBX Emerging Market ETN	20 000 000	20 000 000	20 000 000	20 000 000	-
Sub-Total					-
Investec Capital ETNs					
Investec Top 40	12 750 000	12 750 000	12 750 000	12 750 000	-
Investec SWIX 40	5 000 000	5 000 000	5 000 000	5 000 000	-
Sub-Total					-
Standard Bank ETNs					
SB Africa Equity	20 000 000	20 000 000	20 000 000	20 000 000	-
SB Gold-Linker	1 000 000	1 000 000	1 000 000	1 000 000	-
SB Silver-Linker	500 000	500 000	500 000	500 000	-
SB Platinum-Linker	2 000 000	2 000 000	2 000 000	2 000 000	-
SB Palladium-Linker	500 000	500 000	500 000	500 000	-
SB Copper ETN	10 000 000	10 000 000	10 000 000	10 000 000	-
SB Corn ETN	5 000 000	5 000 000	5 000 000	5 000 000	-
SB Oil ETN	35 000 000	35 000 000	35 000 000	35 000 000	-
SB Wheat ETN	5 000 000	5 000 000	5 000 000	5 000 000	-
Commodity Basket	10 000 000	10 000 000	10 000 000	10 000 000	-
Sub-Total					-



ETFs & ETNs QUARTERLY SURVEY
SECURITIES IN ISSUE AND NEW CAPITAL RAISED / REDEEMED
(for period ended 30 September 2019)

Product	Shares in Issue				New Capital Raised / (Redeemed) (approx. Rm) (Jan-Sept 2019)
	December 2016	December 2017	December 2018	September 2019	
UBS ETNs					
UABSPA Actively Managed	-	-	1 000 000	1 000 000	-
UMMIEA Actively Managed	-	-	1 000 000	1 000 000	-
UMMIEB Actively Managed	-	-	1 000 000	1 000 000	-
UEFPCA UBS Portfolio Notes	-	-	2 500 000	2 500 000	-
UEFBCA UBS Portfolio Notes	-	-	-	1 000 000	-
USNLMA UBS Actively Managed	-	-	-	1 000 000	-
USQCPA UBS Actively Managed	-	-	-	1 000 000	-
UEFPCB UBS Actively Managed	-	-	-	2 000 000	192,0
Sub-Total					192,0
TOTAL ETNs					140,3

Summary – New Capital Raised – December 2017 to Sept 2019 (Rm)	
Exchange Traded Funds (ETFs)	7 724,6
Exchange Traded Notes (ETNs)	140,3
TOTAL	7 864,9
<i>Source: Profile Media / etfSA</i>	

Disclaimer: The Exchange Traded Products (ETPs) contained herein are mainly Collective Investment Schemes in Securities (CIS) and other listed securities which are generally medium to long-term investments that contain elements of risk and can be affected by market values, interest rates, exchange rates, volatility, dividend yields and issuer credit ratings. ETPs are listed on the Johannesburg, or other Stock Exchanges, and trade at ruling prices on such Exchanges.

The price of ETPs can go up as well as down and past performance is not necessarily a guide to the future. The ETPs herein are listed on the Johannesburg Stock Exchange Limited and trading in ETP securities will incur trading and settlement costs. ETF securities are traded at ruling prices and can engage in scrip lending.

The information and opinions provided herein are of a general nature and do not constitute investment advice. Whilst every care has been taken, no representation, warranty or undertaking, expressed or implied, is given as to the accuracy or completeness thereof.

etfSA.co.za is managed by M F Brown, who is a registered financial services provider (FSP No. 39217). M F Brown has Professional Indemnity Insurance as required by FAIS. The etfSA Investment Services Company (Pty) Ltd (FSP No 40107) provides asset management as well as financial intermediary and advice services. It uses Exchange Traded Products to construct portfolios for use in Retirement Annuity, Tax Free and Discretionary investments. It holds Professional Indemnity insurance and Fidelity Guarantee insurance as required by FAIS.

All opinions and information on this website may be changed at any time without notice. Redistribution, reproduction, the resale or transmission to any third party of the contents of this website, whether by email, newsletter, internet or website, is only possible with the written permission of etfSA. etfSA.co.za, its sponsors, administrators, contributors and product providers disclaim any liability for any loss, damage, or expense that might occur from the use of or reliance on the data and services provided through this website. etfSA.co.za® and etfSA The Home of Exchange Traded Funds® are registered trademarks in the Republic of South Africa.